

Instructions for reading the Section 5506 Final Awards spreadsheet - Round 3:

This spreadsheet contains the results of the FTE resident cap slot redistributions under the **third round** of section 5506 of the ACA, “Preservation of Resident Cap Positions from Closed Hospitals” due to the closures of **Hawaii Medical Center East in Honolulu, HI, Oak Forest Hospital in Oak Forest, IL, and Huron Hospital in East Cleveland, OH.**

On the second tab of this spreadsheet, you will find the following information:

- Column A contains the Medicare provider numbers, sorted in ascending order.
- Column B contains the total IME slots awarded to the hospital.
- Column L contains the total DGME slots awarded to the hospital.
- Columns C-K and columns M-U contain various effective dates.

Please note that a hospital may be receiving FTE resident cap slots with staggered effective dates for various reasons. First, some of the effective dates of the slots awarded are tied to the closure date of the closed hospital. Second, section 5506 requires “no duplication of FTE slots.” That is, because some hospitals received temporary FTE resident cap slots under the regulations at 42 CFR 413.79(h) associated with displaced residents, no permanent FTE resident cap slots may be assigned under section 5506 until those temporary FTE resident cap slots have expired. Third, some of the effective dates of the slots awarded are intended for use on an as needed basis. That is, the slots will become effective when the hospital can demonstrate to the Medicare contractor that the slots associated with a new program or program expansion are actually filled, and therefore, are needed as of a particular date. Fourth, some of the effective dates of the slots may be the effective date of CMS's award announcement for this round of section 5506 awards. (Please refer to the August 31, 2012 final rule for a more detailed explanation of this policy (77 FR 53437-53445)).

Once you find the provider number you are looking for, please read across the entire row to find all of the effective dates for the hospital's total section 5506 IME and DGME slots awarded, respectively. The sum of all the IME amounts in the IME effective date fields equals the total IME slots awarded to the hospital in column B. Similarly, the sum of all the DGME amounts in the DGME effective date fields equals the total DGME slots awarded to the hospital in column L.